

Personal Profile Worksheet

LIFE INSURANCE

Insured	Company	Type	Death Benefit
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

LIABILITIES

	Balance	Int. Rate	Mo. Payment
Mortgage	_____	_____	_____
Home Equity	_____	_____	_____
Credit Card	_____	_____	_____
Auto Loan	_____	_____	_____
Other	_____	_____	_____

MISCELLANEOUS

What specific questions would you like to address during your initial discussion?

What financial planning topics do you wish to address (check all that apply)?

- Cash Flow Tax Planning Investment Mgmt. Estate Preservation
 Retirement Planning Life Insurance Needs Home Purchase College Savings
 _____ _____ _____ _____

Are there any family circumstances that may impact your Plan?

What life changes (if any) prompts you to seek financial advice?

What age do you expect / want to retire? **Primary:** _____ **Spouse:** _____

Do you expect to work part time in Retirement? YES NO

Please rate your knowledge:

- | | | | |
|---------------------|-------------------------------|-----------------------------------|-------------------------------|
| Mutual Funds | <input type="checkbox"/> None | <input type="checkbox"/> Moderate | <input type="checkbox"/> Very |
| Stocks | <input type="checkbox"/> None | <input type="checkbox"/> Moderate | <input type="checkbox"/> Very |
| ETFs | <input type="checkbox"/> None | <input type="checkbox"/> Moderate | <input type="checkbox"/> Very |
| Bonds | <input type="checkbox"/> None | <input type="checkbox"/> Moderate | <input type="checkbox"/> Very |

Please express your expectations of working with a financial advisor:

PROVIDING CLEAR, GOAL-ORIENTED STRATEGIES THAT ENABLE CLIENTS TO WORK TOWARD
"FINANCIAL BALANCE"